

#41 Reg for

Refund

06-26-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Huang, et al.
Serial No.: 10/022,189
Filed: 12/18/01
For: Fractionation of Macro-Molecules Using Asymmetric Pulsed Field
Electrophoresis

COMMUNICATION

Attn: Refund Section, Accounting Division
Office of Finance
Assistant Commissioner for Patents
Washington, D.C. 20231

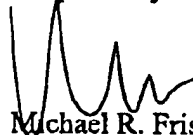
Sir:

We received and reviewed our Monthly Statement of Deposit Account and are requesting a refund in the amount of \$117.00 for the above-referenced application. A copy of the deposit account statement is attached hereto. According to the fee code (203) noted on the statement, we were charged \$117.00 for claims in excess of twenty. On March 8, 2002, we filed a Response to a Notice to File Missing Parts submitting a check in the amount of \$552.00 to cover the basic filing fee of \$370.00; fee of \$117.00 for 13 total claims over 20; and the surcharge fee of \$65.00 for a total of \$552.00. A copy of the Response to the Notice to File Missing Parts, along with a copy of the check that we submitted with our response to the Notice to File Missing Parts is also enclosed.

Accordingly, please credit Deposit Account No. 06-2143 in the amount of \$117.00.

Dated: 6/4/02

Respectfully submitted,



Michael R. Friscia

Reg. No. 33,884

Attorney for Applicant

WOLFF & SAMSON

5 Becker Farm Road

Roseland, NJ 07068-1776

Tel: (973) 533-6599

Fax: (973) 436-4499


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office

 Address: COMMISSIONER OF PATENTS AND TRADEMARKS
 Washington, D.C. 20231

MONTHLY STATEMENT
OF DEPOSIT ACCOUNT

 To replenish your Deposit Account, detach and
 return top portion with your check. Make check
 payable to Commissioner of Patents & Trademarks.

| | |
|-------------|---------|
| Account No. | 062143 |
| Date | 4-30-02 |
| Page | 1 |

 WOLFF & SAMSON
 MICHAEL FRISCIA
 5 BECKER FARM ROAD

FINA

ROSELAND NJ 07601

 PLEASE SEND REMITTANCES TO:
 Patent and Trademark Office
 P.O. Box 70541
 Chicago, Ill. 60673

| DATE POSTED | | | CONTROL NO. | DESCRIPTION (Serial, Patent, TM, Order) | DOCKET NO. | FEE CODE | CHARGES/ CREDITS | BALANCE |
|-------------|-----|-----|-------------|--------------------------------------------|--------------|----------|---------------------|----------|
| MO. | DAY | YR. | | | | | | |
| 4 | 1 | 02 | 8 | 10107910 | 7557/19 | 201 | 370.00 | 11097.00 |
| 4 | 1 | 02 | 9 | 10107910 | 7557/19 | 202 | 84.00 | 11013.00 |
| 4 | 1 | 02 | 10 | 10107910 | 7557/19 | 203 | 252.00 | 10761.00 |
| 4 | 1 | 02 | 17 | 10008822 | 7616/29/1 | 202 | -84.00 | 10845.00 |
| 4 | 1 | 02 | 19 | 10008822 | 7616/29/1 | 202 | 42.00 | 10803.00 |
| 4 | 4 | 02 | 216 | PCT/US02/09502 | 8514/1/1 | 899 | 40.00 | 10763.00 |
| 4 | 4 | 02 | 218 | PCT/US02/09502 | 8514/1/1 | 566 | 15.00 | 10748.00 |
| 4 | 10 | 02 | 199 | 10089830 | 7616/42/5 | 957 | 355.00 | 10393.00 |
| 4 | 10 | 02 | 200 | 10089830 | 7616/42/5 | 254 | 65.00 | 10328.00 |
| 4 | 10 | 02 | 201 | 10089830 | 7616/42/5 | 965 | 126.00 | 10202.00 |
| 4 | 12 | 02 | 34 | 10022189 | 7616/100 | 203 | 117.00 | 10085.00 |
| 4 | 22 | 02 | 7 | 09709925 | P30031/LABEL | 581 | -40.00 | 10125.00 |

 AN AMOUNT SUFFICIENT TO
 COVER ALL SERVICES REQUESTED
 MUST ALWAYS BE ON DEPOSIT.

 OPENING BALANCE
 11467.00

 TOTAL CHARGES
 1466.00

 TOTAL CREDITS
 124.00

 CLOSING BALANCE
 10125.00

WOLFF & SAMSON, P.A.
 5 BECKER FARM ROAD
 ROSELAND, NEW JERSEY 07068

55-33212

CHECK #

67877

DATE

3/07/02

AMOUNT

\$ *****552.00

PAY
THE
AMOUNT
OF

PAY TO

***** FIVE HUNDRED and FIFTY-TWO 00/100 DOLLARS

Commissioner of Patents and Trademarks



ROSELAND, N.J. 07068

ATTORNEY BUSINESS ACCOUNT

[Handwritten signature]

⑆021200339⑆ 01470 04365⑈

**Response To Notice T File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)****Docket No.
7616/100**In Re Application Of: **Lotien Richard Huang, James Christopher Sturm, Robert Hamilton Austin****Serial No.
10/022,189****Filing Date
12/18/01****Examiner****Group Art Unit
1635****Invention: Fractionation of Macro-Molecules Using Asymmetric Pulsed Field Electrophoresis****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
02/22/02
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

Assignment and Assignment Recordation Form Cover Sheet

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)****Docket No.
7616/100**In Re Application Of: **Lotien Richard Huang, James Christopher Sturm, Robert Hamilton Austin****Serial No.
10/022,189****Filing Date
12/18/01****Examiner****Group Art Unit
1635****Invention: Fractionation of Macro-Molecules Using Asymmetric Pulsed Field Electrophoresis****TO THE ASSISTANT COMMISSIONER FOR PATENTS:****Attention: Manager, Application Branch**☒ **Completion of application fees as calculated below:**

| | |
|---------------------------------------------------------------------------------------------------------------------------------|-----------------------------|
| <input checked="" type="checkbox"/> Utility application filing fee | <u>\$370.00</u> |
| <input type="checkbox"/> Design application filing fee | <u> </u> |
| <input type="checkbox"/> Total number of independent claims = <u> </u> | <u> </u> |
| <input checked="" type="checkbox"/> Total number of claims = <u>33</u> | <u>\$117.00</u> |
| <input type="checkbox"/> Multiple dependent claims | <u> </u> |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | <u>\$65.00</u> |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | <u> </u> |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | <u> </u> |
| <input type="checkbox"/> Fee for processing and retention of application | <u> </u> |
| Total completion of application fees | <u>\$552.00</u> |

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: *Date* until: *Date*

Total time extension fees **Total fees due** \$552.00



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 10/022,189 | 12/18/2001 | Lotien Richard Huang | 7616/100 |

CONFIRMATION NO. 1044

FORMALITIES LETTER

OC000000007522564

Wolff & Samson
5 Becker Farm Road
Roseland, NJ 07068-1776

Date Mailed: 02/22/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$117.
 - \$117 for 13 total claims over 20.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 552.

*A copy of this notice **MUST** be returned with the reply.*

[Signature]

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

WOLFF & SAMSON
A PROFESSIONAL CORPORATION
COUNSELLORS AT LAW
5 BECKER FARM ROAD
280 CORPORATE CENTER
ROSELAND, NEW JERSEY 07068
973-740-0500

ATLANTIC CITY OFFICE:
17 GORDON'S ALLEY
ATLANTIC CITY, NEW JERSEY 08401
609-344-7009

TELECOPIER: 973-740-1407

NEW YORK OFFICE:
140 BROADWAY
FORTY-SIXTH FLOOR
NEW YORK, NEW YORK 10005
212-973-0572

(Please Reply to Roseland Office)

TELECOPIER TRANSMITTAL COVER SHEET

Date: June 4, 2002

Please deliver the following pages to:

Name: United States Patent and Trademark Office

Company: Attn: Refund Section, Accounting Division Office of Finance

City:

Fax No: (703) 308-5077

Telephone No: (703) 305-4229

From: Michael R. Friscia, Esq.

Writer's Direct #: (973) 533-6599

Total Pages (including this page): 11

Fax Direct #: (973) 436-4499

Reference: Deposit Account No. 06-2143

Comments:

Please Note: The information contained in this facsimile message is privileged and confidential, and is intended only for the use of the individual named above and others who have been specifically authorized to receive it. If you are not the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, or if any problems occurs with transmission, please notify us immediately by telephone: (973) 740-0500. Thank You.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attn: Refund Section, Accounting Division
Office of Finance
Assistant Commissioner for Patents
Washington, D.C. 20231

Re: Our file: 7616/100 Office:
Applicant: Huang, et al. Examiner:
Serial No.: 10/022,189
Filing Date: 12/18/01
Title: Fractionation of Macro-Molecules Using Asymmetric Pulsed Field Electrophoresis

Sir:

Enclosed for filing in the United States Patent and Trademark Office is the following:

1. Communication
2. Copy of Monthly Deposit Account Statement
3. Copy of Response to Notice to File Missing Parts as originally filed with USPTO
4. Transmittal Sheet

CONDITIONAL PETITION

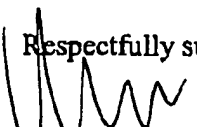
If any extension of time is required for the submission of the above-identified items, Applicant requests that this be considered a petition therefor. Please charge any additional charges or any other charges relating to this matter to the deposit account of the writer, Account No. 06-2143. A duplicate copy of this letter is enclosed.

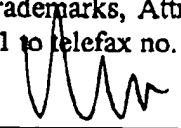
6/4/02
Date

enc.

I hereby certify that the following papers are being facsimile transmitted to the U.S. Patent and Trademark Office to the Commissioner of Patents and Trademarks, Attn: Refund Section, Accounting Division Office of Finance, Washington, D.C. 20231 to telefax no. (703) 308-5077 on 6/4/02.

Respectfully submitted,


Michael R. Friscia
Registration No. 33,884
Wolff & Samson
5 Becker Farm Road
Roseland, New Jersey 07068-1776
Tel: (973) 533-6599
Fax: (973) 436-4499

By: 
Michael R. Friscia

Response To Notice To File Missing Parts Of Application
Filing Date Granted (10-1533) (Small Entity)

Docket No.
7616/100

1685

In Re Application Of: Loten Richard Huang, James Christopher Sturm, Robert Hamilt n Austin

Serial No.
10/022,189

Filing Date
12/18/01

Examiner

Group Art Unit
1635

Invention: Fractionation of Macro-Molecules Using Asymmetric Pulsed Field Electrophoresis

RECEIVED

JUN 26 2002

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

TECH CENTER 1600/2900

Attention: Manager, Application Branch

The fee of \$552.00 is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. 06-2143
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. 06-2143
A duplicate copy of this sheet is enclosed.

Dated:

3/8/02

Signature

Michael R. Friscia
Registration No. 33,884
Wolff & Samson
5 Becker Farm Road
Roseland, NJ 07068-1776
Tel: (973) 533-6599
Fax: (973) 436-4499

I certify that this document and fee is being deposited on 3/8/02 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Michael R. Friscia

Typed or Printed Name of Person Mailing Correspondence

CC:

61 47 143 4-100